

Authentication Risk Assessment Survey

Asset Description							Controls (enter 1 if you use a control, 0 if not)																						
#	Asset Owner	Asset Category	Asset Stores Financial Information	User	Asset Name	Description	Vendor Name (or Asset Provider)	Dual Authorization / Dual Control	Multi-factor Authentication (What you know, What you have)	One Time Password (sent out-of-band)	Out-of-Band Verification	Whitelisting (ie: Positive Pay, Debit Blocks)	Out-of-Wallet Challenge Questions	Volume Anomaly Detection (automated)	Time-of-Day, Day-of-Week Anomaly Detection (automated)	Dollar Amount Threshold Blocks	Storage of Data on "Endpoint" is encrypted.	Software Token (Cookie-based) Security	IP Blocks (black lists)	Policies and Procedures for detecting and responding to fraud	We audit our Customers.	2005 Challenge Questions	Endpoint Owners are required to destroy accumulated NPI.	Anti-Phishing Picture (ie Sitekey)	Enhanced customer account maintenance controls	Manual FI Usage Approval	Manual FI Transaction Approval	Customer Education	
1	Electronic Banking	1. Commercial On-line Banking	Y	Commercial Customer	Commercial Internet Banking	E-Pay: Add a Vendor	Document the name of the vendor.	0	1	1	0	1	1	0	0	0	0	0	1	1	0	0	0	0	1	0	0	1	
2	Electronic Banking	1. Commercial On-line Banking	Y	Commercial Customer	Commercial Electronic Funds Transfer	Customer interface to be able to initiate EFT transactions.	Document the name of the vendor.	0	1	1	0	1	1	1	0	1	1	0	1	1	0	0	1	0	1	1	1	1	
3	Electronic Banking	1. Commercial On-line Banking	Y	Commercial Customer	ACH Transactions	Commercial Customer Interface to initiate ACH transactions.	Document the name of the vendor.	0	1	1	0	1	1	1	0	1	1	0	1	1	0	0	1	0	1	1	1	1	
4	Electronic Banking	1. Commercial On-line Banking	N	Commercial Customer	Commercial Billpay	Customer interface to be able to initiate Billpay transactions.	Document the name of the vendor.	0	1	1	0	1	1	1	0	1	0	0	0	1	1	0	1	0	0	1	1	0	1
5	Electronic Banking	1. Commercial On-line Banking	N	Commercial Customer	Commercial Internet Banking	Change Password on Account	Document the name of the vendor.	0	1	1	0	0	1	1	0	0	0	0	0	1	1	0	1	0	0	1	0	0	1
6	Electronic Banking	1. Commercial On-line Banking	N	Commercial Customer	Commercial Internet Banking	Change Username on Account	Document the name of the vendor.	0	1	1	0	0	1	0	0	0	0	0	0	1	1	0	1	0	0	1	1	1	1
7	Electronic Banking	1. Commercial On-line Banking	N	Commercial Customer	Commercial Internet Banking	Remember Password	Document the name of the vendor.	0	1	0	0	0	1	0	0	0	1	0	1	1	0	0	0	0	1	0	0	1	
8	Electronic Banking	1. Commercial On-line Banking	Y	Commercial Customer	Wire Transfers	Customer Interface to initiate wire transfers.	Document the name of the vendor.	0	1	1	0	1	1	1	0	1	1	0	1	1	0	0	1	0	1	1	1	1	
9	Electronic Banking	1. Commercial On-line Banking	N	Administration	Reset Password	Change Password on Account initiated by an Administrator	Document the name of the vendor.	0	1	1	0	1	0	1	1	0	1	0	1	1	0	0	0	0	1	0	0	1	
10	Electronic Banking	1. Commercial On-line Banking	Y	Commercial Customer	Commercial Internet Banking	Change E-mail Address on Account	Document the name of the vendor.	0	1	1	0	0	1	0	0	0	0	0	0	1	1	0	0	0	0	1	0	0	1
11	Electronic Banking	1. Commercial On-line Banking	Y	Commercial Customer	Commercial Internet Banking	E-Pay: Pay a bill	Document the name of the vendor.	0	1	1	0	1	0	1	0	1	0	0	0	1	1	0	0	0	0	1	0	0	1
12	Electronic Banking	1. Commercial On-line Banking	Y	Commercial Customer	Commercial Internet Banking	Transfer Funds Within Customer Accounts	Document the name of the vendor.	0	1	1	0	0	0	1	0	0	0	0	0	1	1	0	0	0	0	1	0	0	1
13	Electronic Banking	1. Commercial On-line Banking	N	Administration	Commercial Internet Banking	Change Address Initiated by Customer but handled by Bank Employee	Document the name of the vendor.	0	1	0	0	0	0	1	1	0	1	0	1	1	0	0	0	0	1	1	0	1	
14	Electronic Banking	1. Commercial On-line Banking	N	Commercial Customer	Commercial Internet Banking	Login to Account	Document the name of the vendor.	0	1	1	0	0	1	0	0	0	0	0	0	1	1	0	1	0	0	1	0	0	1
15	Electronic Banking	1. Commercial On-line Banking	N	Commercial Customer	Commercial Internet Banking	Chat with Helpdesk (Customer Service)	Document the name of the vendor.	0	1	1	0	0	1	0	1	0	0	0	0	1	1	0	0	1	0	1	1	1	1
16	Electronic Banking	1. Commercial On-line Banking	Y	Commercial Customer	Commercial Internet Banking	Change Phone Number on Account	Document the name of the vendor.	0	1	1	0	0	1	0	0	0	0	0	0	1	1	0	0	0	0	1	1	1	1
17	Electronic Banking	1. Commercial On-line Banking	Y	Administration	Could be one application, may be more.	Application that processes "back office functionality" for wire transfers, ACH transactions, etc.	Document the name of the vendor.	0	1	0	0	0	0	1	0	1	0	0	0	1	1	0	1	0	0	1	1	1	1
18	Electronic Banking	1. Commercial On-line Banking	Y	Commercial Customer	Commercial Internet Banking	Check Balance	Document the name of the vendor.	0	1	1	0	0	1	0	0	0	0	0	0	1	1	0	1	0	0	1	0	0	1
19	Electronic Banking	1. Commercial On-line Banking	Y	Administration	Commercial Bill-pay	Bill-pay Administrator Accounts	Document the name of the vendor.	0	1	0	0	0	1	0	0	0	1	0	1	1	0	0	0	0	1	0	0	1	
20	Electronic Banking	1. Commercial On-line Banking	Y	Commercial Customer	Commercial Internet Banking	Stop Payment	Document the name of the vendor.	1	1	1	0	0	1	0	0	0	0	0	0	1	1	0	1	0	0	1	1	1	1
21	Electronic Banking	1. Commercial On-line Banking	Y	Commercial Customer	Commercial Internet Banking	View Account History	Document the name of the vendor.	0	1	1	0	0	1	0	0	0	0	0	0	1	1	0	1	0	0	1	0	0	1
22	Electronic Banking	1. Commercial On-line Banking	Y	Commercial Customer	Commercial Internet Banking	View Account Summary	Document the name of the vendor.	0	1	1	0	0	1	0	0	0	0	0	0	1	1	0	1	0	0	1	0	0	1

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23	Electronic Banking	1. Commercial On-line Banking	Y	Commercial Customer	Commercial Internet Banking	Remove Authorized Access to an Account	Document the name of the vendor.	1	1	1	0	0	1	0	0	0	0	0	1	1	0	1	0	0	1	0	1	1
24	Electronic Banking	1. Commercial On-line Banking	Y	Administration	Commercial Internet Banking	Electronic Banking Maintenance Forms (customer setup changes, customer password changes)	Document the name of the vendor.	0	1	0	0	0	0	0	1	0	0	0	1	1	0	0	0	0	1	0	0	1
25	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	Change Password on Account	Document the name of the vendor.	0	1	1	0	0	1	1	0	0	1	0	1	1	0	0	0	0	1	0	0	1
26	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	Change Username on Account	Document the name of the vendor.	0	1	0	0	0	1	0	0	0	0	0	1	1	0	1	0	0	1	1	1	1
27	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	Forgot My Password	Document the name of the vendor.	0	1	1	0	0	1	1	0	0	1	0	1	1	0	0	1	0	1	0	1	1
28	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	E-Pay: Add a Vendor	Document the name of the vendor.	0	1	1	0	1	1	0	0	0	0	0	1	1	0	0	0	0	1	0	0	1
29	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	Add People to Account	Document the name of the vendor.	1	1	1	0	0	1	0	0	0	0	0	1	1	0	0	0	0	1	1	1	1
30	Electronic Banking	2. On-line Banking	Y	Customer	Consumer Electronic Funds Transfer	Customer Interface to initiate EFT transactions.	Document the name of the vendor.	0	1	1	0	1	1	1	0	1	0	0	1	1	0	0	0	0	1	1	1	1
31	Electronic Banking	2. On-line Banking	Y	Customer	Consumer Electronic Wire Transfer	Customer Interface to initiate wire transfers.	Document the name of the vendor.	0	1	1	0	1	1	1	1	1	0	0	1	1	0	0	0	0	1	1	1	1
32	Electronic Banking	2. On-line Banking	Y	Customer	Consumer ACH Transactions	Customer Interface to initiate ACH transactions.	Document the name of the vendor.	0	1	1	0	1	1	1	1	1	0	0	1	1	0	0	0	0	1	1	1	1
33	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	Internet Banking Consumer Customer Interface	Document the name of the vendor.	0	1	0	0	0	1	0	0	0	0	0	1	1	0	0	0	0	1	0	0	1
34	Electronic Banking	2. On-line Banking	Y	Administration	Retail Internet Banking	Change Password Initiated by Customer	Document the name of the vendor.	0	1	1	0	0	0	0	0	0	1	0	1	1	0	0	0	0	1	0	0	1
35	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	Login to Account	Document the name of the vendor.	0	1	0	0	0	1	0	0	0	1	0	1	1	0	1	0	0	1	0	0	1
36	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	Change E-mail Address on Account	Document the name of the vendor.	1	1	1	0	0	1	0	0	0	0	0	1	1	0	0	0	0	1	0	0	1
37	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	E-Pay: Pay a bill	Document the name of the vendor.	0	1	1	0	1	1	1	0	1	0	0	1	1	0	0	0	0	1	0	0	1
38	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	Chat with Helpdesk (Customer Service)	Document the name of the vendor.	0	1	1	0	0	1	0	1	0	0	0	1	1	0	0	0	0	1	1	1	1
39	Electronic Banking	2. On-line Banking	Y	Administration	Retail Internet Banking	Change Address Initiated by Customer	Document the name of the vendor.	1	1	1	0	0	1	0	0	0	0	0	1	1	0	0	0	0	1	1	1	1
40	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	Change Address on Account	Document the name of the vendor.	1	1	1	0	0	1	0	0	0	0	0	1	1	0	0	0	0	1	1	1	1
41	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	Transfer Funds Within Customer Accounts	Document the name of the vendor.	0	1	1	0	0	1	0	0	0	0	0	1	1	0	0	0	0	1	0	0	1
42	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	Internet Banking Secure Chat Feature	Document the name of the vendor.	0	1	1	0	1	1	1	1	1	1	0	1	1	0	0	1	0	1	0	0	1
43	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	Change Phone Number on Account	Document the name of the vendor.	1	1	1	0	0	1	0	0	0	0	0	1	1	0	0	0	0	1	1	1	1
44	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	E-statements	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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45	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	Apply for Loan	Document the name of the vendor.	0	0	1	0	0	0	0	0	0	1	0	1	1	0	0	1	0	1	1	1	1
46	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	View Account History	Document the name of the vendor.	0	1	0	0	0	0	0	0	0	1	0	1	1	0	0	1	0	1	0	0	1
47	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	View Account Summary	Document the name of the vendor.	0	1	0	0	0	0	0	0	0	1	0	1	1	0	0	1	0	1	0	0	1
48	Electronic Banking	2. On-line Banking	Y	Administration	Retail Internet Banking	On-line Banking Administrator Access	Document the name of the vendor.	0	1	0	0	0	1	1	1	0	1	0	1	1	0	0	0	0	1	1	0	1
49	Electronic Banking	2. On-line Banking	Y	Administration	Retail Internet Banking	Bill-pay Administrator Accounts	Document the name of the vendor.	0	1	0	0	0	0	1	1	0	1	0	1	1	0	0	0	0	1	1	0	1
50	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	Sign up for E-statements	Document the name of the vendor.	1	1	0	0	0	1	0	0	0	0	0	1	1	0	0	0	0	1	0	1	1
51	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	Request Alerts	Document the name of the vendor.	0	1	0	0	0	1	0	0	0	0	0	1	1	0	0	0	0	1	0	0	1
52	Electronic Banking	2. On-line Banking	Y	Customer	Retail Internet Banking	Stop Payment	Document the name of the vendor.	1	1	0	0	0	0	0	1	0	1	0	1	1	0	0	0	0	1	1	1	1
53	Electronic Banking	2. On-line Banking	Y	Administration	Retail Internet Banking	Electronic Banking Maintenance Forms (customer setup changes, customer password changes)	Document the name of the vendor.	1	1	0	0	0	0	0	0	0	1	0	1	1	0	0	0	0	1	0	0	1
54	Electronic Banking	3. Mobile Banking	Y	Customer	Mobile Web: Billpay	Billpay to NEW payees: Note: if this is prohibited, we still think you should list so that it is evident you considered it and prohibited it.	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
55	Electronic Banking	3. Mobile Banking	Y	Customer	SMS Banking Transaction Functionality:	Balance All, One-way Transfer within existing Customer Accounts. Abbreviated history of last five transactions.	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
56	Electronic Banking	3. Mobile Banking	Y	Customer	P2P	Customer Interface to initiate P2P transactions.	Document the name of the vendor.	0	1	1	0	1	1	1	0	1	0	0	1	1	0	0	0	0	1	0	0	1
57	Electronic Banking	3. Mobile Banking	Y	Customer	Paypal	Customer Interface to initiate Paypal transactions.	Document the name of the vendor.	0	1	1	0	1	1	1	0	1	0	0	1	1	0	0	0	0	1	0	0	1
58	Electronic Banking	3. Mobile Banking	Y	Customer	Scan and Pay	Customer Interface to initiate Scan and Pay transactions.	Document the name of the vendor.	1	1	1	0	0	1	1	0	1	0	0	1	1	0	0	0	0	1	1	1	1
59	Electronic Banking	3. Mobile Banking	Y	Customer	Zashcash	Customer Interface to initiate Zashcash transactions.	Document the name of the vendor.	0	1	1	0	1	1	1	0	1	0	0	1	1	0	0	0	0	1	0	0	1
60	Electronic Banking	3. Mobile Banking	Y	Customer	Mobile Web: Billpay	Billpay to EXISTING payees	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
61	Electronic Banking	3. Mobile Banking	Y	Customer	Square	Customer Interface to initiate credit card processing transactions.	Document the name of the vendor.	0	1	1	0	1	1	1	0	1	0	0	1	1	0	0	0	0	1	1	1	1
62	Electronic Banking	3. Mobile Banking	Y	Customer	Mobile Application: Billpay	Billpay to NEW payees: Note: if this is prohibited, we still think you should list so that it is evident you considered it and prohibited it.	Document the name of the vendor.	0	1	1	0	1	1	1	0	1	0	0	1	1	0	0	0	0	1	0	1	1
63	Electronic Banking	3. Mobile Banking	N	Customer	New User Registration	Ability to download app from "app market" and get started with some basic transactions (DOCUMENT WHAT IS MEANT BY BASIC TRANSACTIONS)	Document the name of the vendor.	0	1	1	0	0	1	1	0	0	1	0	1	1	0	0	1	0	1	0	0	1

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64	Electronic Banking	3. Mobile Banking	N	Customer	Mobile Application: New User Registration	Note: This could be listed as "Not Applicable" but we think you should still include it in your inventory so that it is clear that the risks from this are not applicable. If applicable, document the process here.	Document the name of the vendor.	0	1	1	0	1	1	1	0	1	0	0	1	1	0	0	0	0	1	1	1	1
65	Electronic Banking	3. Mobile Banking	Y	Customer	Mobile Billpay	Billpay to EXISTING payees	Document the name of the vendor.	0	1	0	0	1	1	1	0	1	0	0	1	1	0	0	0	0	1	0	0	1
66	Electronic Banking	3. Mobile Banking	Y	Customer	SMS Banking: View Functionality	Balance Inquiries, Threshold alerts.	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
67	Electronic Banking	3. Mobile Banking	Y	Customer	SMS Banking: Registration	Client has to go out while logged onto Internet Banking. There will be a menu option for them to input their cell number.	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
68	Electronic Banking	3. Mobile Banking	Y	Customer	Consumer Capture	Customer Interface to initiate Consumer Capture transactions.	Document the name of the vendor.	0	1	1	0	1	1	1	0	1	0	0	1	1	0	0	0	0	1	1	1	1
69	Electronic Banking	3. Mobile Banking	Y	Customer	Downloading Mobile Banking App from the Application Market	Droid, Apple, Link from Website to legitimate app in the app store. Customers do not HAVE to do this, but as a precaution this will be included in CAT.	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
70	Electronic Banking	3. Mobile Banking	N	Customer	OTP "Out of Bank Authentication"	Using SMS banking for OTP passwords for other assets	Document the name of the vendor.	0	1	1	0	0	0	1	0	0	0	0	1	1	0	0	0	0	1	0	0	1
71	Electronic Banking	3. Mobile Banking	Y	Customer	Secure Chat Application	Mobile Banking's Secure Chat or Texting Functionality	Document the name of the vendor.	0	1	1	0	0	0	0	0	0	1	0	1	1	0	0	1	0	1	0	0	1
72	Marketing Director / Webmaster	3.5 Social Media	N	Customer	Social Networking Site(s)	Customer Post on Customer-owned Social Media Site.	Varies	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	1
73	Marketing Director / Webmaster	3.5 Social Media	N	Customer	Social Networking Site(s)	Customer Post on Bank-owned Social Media Site	Varies	0	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	1	0	0	1
74	Marketing Director / Webmaster	3.5 Social Media	N	Administration	Social Networking Site(s)	Bank's Social Media Post	Varies	0	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	1	0	0	1
75	Marketing Director / Webmaster	3.5 Social Media	N	User	Social Networking Site(s)	Management Team Member Post on employee-owned Social Media Site.	Varies	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	1
76	Marketing Director / Webmaster	3.5 Social Media	N	User	Social Networking Site(s)	User-level Post on employee-owned Social Media Site	Varies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
77	Marketing Director / Webmaster	3.5 Social Media	N	Administration	Facebook	Bank's Facebook Site	Facebook	0	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	1	0	0	1
78	Marketing Director / Webmaster	3.5 Social Media	N	Administration	Twitter	Bank's Twitter Site	Twitter	0	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	1	0	0	1
79	Marketing Director / Webmaster	3.5 Social Media	N	Administration	LinkedIn	Bank's LinkedIn Page	LinkedIn	0	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	1	0	0	1
80	Electronic Banking	4. Telephone Banking	Y	Customer	Telephone Banking	Checks by Phone: Customer Interface	Document the name of the vendor.	0	0	1	0	1	1	1	0	1	1	0	0	1	0	0	1	0	1	1	1	1
81	Electronic Banking	4. Telephone Banking	Y	Customer	Telephone Banking	Make Loan Payments (internal to bank)	Document the name of the vendor.	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	1	0	1	1
82	Electronic Banking	4. Telephone Banking	Y	Administration	Telephone Banking	Telephone System Administration	Document the name of the vendor.	0	0	0	0	0	0	1	1	0	0	0	0	1	0	0	0	0	1	1	1	1
83	Electronic Banking	4. Telephone Banking	Y	Customer	Telephone Banking	Check Balance	Document the name of the vendor.	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	1	0	0	1
84	Electronic Banking	5. Remote Capture	N	Commercial Customer	Commercial Remote Capture Deposit	Customer interface to be able to initiate Remote Deposit transactions.	Document the name of the vendor.	0	1	0	0	1	1	1	0	1	0	0	1	1	0	0	0	0	1	1	0	1

#	Asset Owner	Asset Category	Asset Stores Financial Information	User	Asset Name	Description	Vendor Name (or Asset Provider)	Dual Authorization / Dual Control	Multi-factor Authentication (What you know, What you have)	One Time Password (sent out-of-band)	Out-of-Band Verification	Whitelisting (ie: Positive Pay, Debit Blocks)	Out-of-Wallet Challenge Questions	Volume Anomaly Detection (automated)	Time-of-Day, Day-of-Week Anomaly Detection (automated)	Dollar Amount Threshold Blocks	Storage of Data on "Endpoint" is encrypted.	Software Token (Cookie-based) Security	IP Blocks (black lists)	Policies and Procedures for detecting and responding to fraud	We audit our Customers.	2005 Challenge Questions	Endpoint Owners are required to destroy accumulated NPI.	Anti-Phishing Picture (ie: Sitekey)	Enhanced customer account maintenance controls	Manual FI Usage Approval	Manual FI Transaction Approval	Customer Education
85	Electronic Banking	5. Remote Capture	N	Customer	Customer Remote Capture Deposit (Mobile Banking)	Customer interface to be able to initiate Remote Deposit transactions. Note: this is identical to Mobile Banking category above. If you want to show it in both places, be sure your risk metrics are equal.	Document the name of the vendor.	0	1	1	0	1	1	1	0	1	0	0	1	1	0	0	0	0	1	1	0	1
86	Electronic Banking	5. Remote Capture	Y	Administration	Remote Capture	Remote Capture Application: Administrator Console	Document the name of the vendor.	0	1	0	0	0	0	0	0	0	1	0	1	1	0	0	1	0	1	1	0	1
87	Electronic Banking	5. Remote Capture	Y	Commercial Customer	Commercial Remote Capture Deposit	Retained Non-public Customer Information		0	1	0	0	1	0	1	0	0	0	0	1	1	0	0	0	0	1	1	0	1
88	Electronic Banking	5. Remote Capture	Y	Customer	Consumer Remote Capture Deposit	Retained Non-public Customer Information		0	1	0	0	1	0	1	0	0	0	0	1	1	0	0	0	0	1	1	0	1
89	Network Administrator	6. Portable Devices	Y	User	Employee-owned Mobile Endpoints	Employee-owned endpoints with sensitive information on them (ie iPads, Smart Phones, Cell Phones, Laptops)	List employees?	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	1
90	Network Administrator	6. Portable Devices	Y	User	Bank-owned Mobile Endpoints	Bank-owned endpoints with sensitive information on them (ie iPads, Smart Phones, Cell Phones, Laptops)	List employees?	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	1	1	1	1
91	Network Administrator	6. Portable Devices	Y	User	Employee-owned Mobile Endpoints	Bank E-mail on Employee Owned Mobile Endpoints (broken out from general)	List employees?	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	1	1	1	1
92	Varies	6. Portable Devices	Y	User	Laptops owned and used by the bank, or given access to bank assets.	How many? Who uses them?	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	1	0	0	1	0	0	0	0	1	1	1	1
93	Varies	6. Portable Devices	Y	User	Tablet PC's like iPad, Blackberry Playbook, Motorola Xoom, owned and used by the bank, or given access to bank assets.	How many? Who uses them?	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	1	1	1	1
94	Varies	6. Portable Devices	Y	User	Smart Phones owned by the bank, or given access to bank assets.	List who has them, and describe the sensitive information on them.	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	1	1	1	1
95	Varies	6. Portable Devices	N	User	ipods and storage devices owned by employees	Describe usage of employee owned devices in the organization.	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	1	1	1	1
96	Marketing Director / Webmaster	7. Marketing	Y	Customer	Credit Card Application	If a third party is providing this service or a link off your main web site, list Vendor Due Diligence as a mitigating control.	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	1	0	0	1	0	0	1	0	0	0	0	0
97	Marketing Director / Webmaster	7. Marketing	N	Customer	Loan Calculators	If a third party is providing this service or a link off your main web site, list Vendor Due Diligence as a mitigating control.	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0
98	Marketing Director / Webmaster	7. Marketing	N	Customer	Credit/Debit Card Customizer Applications	If a third party is providing this service or a link off your main web site, list Vendor Due Diligence as a mitigating control.	Document the name of the vendor.	0	1	1	0	0	0	0	0	0	1	0	0	1	0	0	1	0	1	1	1	1
99	Marketing Director / Webmaster	7. Marketing	N	Customer	List any other types of interactive applications hosted on your "marketing site."	Describe them here.	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
100	Electronic Banking	8. Banking	N	Customer	ATM	Customer Interface	Document the name of the vendor.	0	1	0	0	0	0	1	0	1	0	0	0	1	0	0	0	0	1	0	0	1

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101	Branch Operations	8. Banking	Y	Administration	Give the asset a name	Supervisor Module to Teller Systems. Performs functions such as: Add/Remove tellers, Set teller authorities, Interfaces with Core Processor and often with the Signature Card System. Often has a BSA Module. Often provides data mining capabilities.	Document the name of the vendor.	0	1	0	0	0	0	0	1	0	1	0	1	1	0	0	0	0	1	1	1	1
102	Bookkeeping	8. Banking	Y	Administration	Give the asset a name	Sweep Functionality. Also documented as "Auto Transfer Form" (money taken from one internal account to another internal account periodically. IE: Excess in checking into savings on a weekly basis)	Document the name of the vendor.	0	1	0	0	0	0	0	1	0	0	0	1	1	0	0	0	0	1	1	1	1
103	Electronic Banking	8. Banking	N	Administration	Give the asset a name	Application used to administer ATMs	Document the name of the vendor.	0	1	0	0	0	0	1	0	0	0	0	1	1	0	0	0	0	1	1	1	1
104	Electronic Banking	8. Banking	Y	Administration	ATM	Administrative Interface	Document the name of the vendor.	0	1	0	0	0	0	0	1	0	0	0	1	1	0	0	0	0	1	1	1	1
105	Bookkeeping	8. Banking	Y	Administration	Give the asset a name	Stop Payment Forms (ACH, Check, Unauthorized Transactions)	Document the name of the vendor.	0	1	0	0	0	0	0	1	0	0	0	1	1	0	0	0	0	1	1	1	1
106	BSA Officer / Security Officer	8. Banking	Y	Administration	Patriot Act Connection (PAC)	Patriot Act Connection (PAC)	Document the name of the vendor.	0	0	0	0	0	0	1	1	0	1	0	1	1	0	0	1	0	1	1	1	1
107	Network Administrator	9. Non-banking	N	User	Microsoft Outlook Web Access (OWA)	E-mail stored on servers as well as on user workstations. E-mail in transit (Confidential internally.) Encryption packages for use in sending Confidential or Critical information through public networks. E-mail Distribution Lists.	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	1	1	0	1
108	Human Resources	9. Non-banking	Y	Administration	Give the asset a name	401k Processing. The administrator console.	Document the name of the vendor.	0	1	1	0	1	0	0	1	0	1	0	1	1	0	0	0	0	1	0	0	1
109	Will vary, if you use this line item, you will probably be setting up several versions of it (to account for several different portals.)	9. Non-banking	N	Administration	Portals	List any portals that users log into in order to retrieve or deliver information. Examples include government websites, vendor portals, sites with a shopping cart (Deluxe Checks), etc.	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
110	Trust Department	9. Non-banking	Y	Administration	Give the asset a name	Brokerage Systems	Document the name of the vendor.	0	1	1	0	1	0	0	1	0	1	0	1	1	0	0	0	0	1	0	0	1
111	Network Administrator	9. Non-banking	N	User	VPN Access	Ability to log into the internal network from outside.	Document the name of the vendor.	0	1	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	1	1	0	1
112	Human Resources	9. Non-banking	Y	Administration	Give the asset a name	Human Resources Applications	Document the name of the vendor.	0	1	0	0	0	0	0	0	0	1	0	1	1	0	0	1	0	1	1	0	1
113	Individual Employees	9. Non-banking	Y	User	Give the asset a name	401k portal for employees.	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	1	0	1	1	0	0	0	0	1	0	0	1
114	Varies	9. Non-banking	N	User	Give the asset a name	On-line Training Applications	Document the name of the vendor.	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	1	0	0	1